

Periodic Potential  $V(x) = V(x+a)$  e.g. electron in crystal

Translational Symmetry  $T_a = e^{-a d/dx}$ ,  $(T_a V)(x) = V(x-a) = V(x)$

$$H = \frac{p^2}{2m} + V(x) \Rightarrow [T_a, H] = 0$$

Conservation law?

$$\text{Ehrenfest: } \frac{d}{dt} \langle \varphi | T_a | \varphi \rangle = \frac{1}{i\hbar} \langle \varphi | [T_a, H] | \varphi \rangle = 0 \quad (\star)$$

$\therefore \langle \varphi | T_a | \varphi \rangle$  is conserved But ... is momentum conserved?

No! ... because  $[T_a, H] \neq 0$  for  $E < a$

Let  $\varphi(x, t=0) \equiv \varphi_0 = e^{iqx}$  evolve to  $\varphi(x, t > 0)$  Some complicated function

$$\text{Note } T_a \varphi(x) = e^{-iqa} \varphi_0(x) \Rightarrow \langle \varphi_0 | T_a | \varphi_0 \rangle = e^{-iqa}$$

$$\text{Time evolution: } (\star) \Rightarrow \langle \varphi_t | T_a | \varphi_t \rangle = e^{-iqa}$$

Fourier components of  $\varphi(x, t) = \int dk C_k(t) e^{ikx}$  obey  $e^{-ika} = e^{-iqa}$

$\therefore$  Only  $k = q + \frac{2\pi}{a}n$  allowed  $\Rightarrow \{q + \frac{2\pi}{a}n\}$  is conserved

Weak conservation law due to discrete translational symmetry <sup>finite a</sup>

Small  $a$  limit  $\Rightarrow$  only  $q$  conserved  $\Rightarrow$  Strong momentum conservation law

Discrete symmetry group  $G = \{T_a^n, n \in \mathbb{Z}\} \Rightarrow \{q + \frac{2\pi}{a}n\}$  conserved

Continuous symmetry group  $G = \{T_a, a \in \mathbb{R}\} \Rightarrow q$  conserved

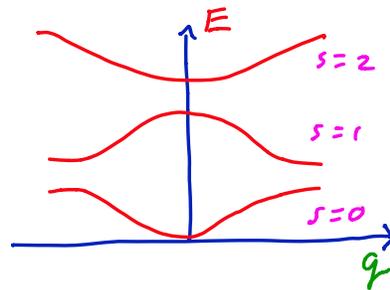
Bloch Theorem  $[T_a, H] = 0 \Rightarrow$  Simultaneous eigenvectors

Recall  $T_a e^{iqx} = e^{-iq a} e^{iqx}$  eigenfunction of  $T_a$  but not  $H$

Let  $u(x-a) = u(x)$  be periodic  $\Rightarrow T_a [e^{iqx} u(x)] = e^{-iq a} [e^{iqx} u(x)]$   
 most general eigenfunction of  $T_a$  (and  $H$ !) ↑  
eigenvalue of  $T_a$

$\therefore H \varphi_{qs}(x) = E_s(q) \varphi_{qs}(x)$   
 Bloch index  $\nearrow$  Band index  $\nearrow$  Dispersion Relation  $\nearrow$

$\varphi_{qs}(x) = e^{iqx} u_{qs}(x)$



How to determine  $u, E$ ?

Schrödinger  $\Rightarrow \left\{ \frac{p^2}{2m} + V(x) \right\} e^{iqx} u(x) = E e^{iqx} u(x)$   
 $\Rightarrow \left\{ \frac{\hbar^2 q^2}{2m} + \frac{\hbar q P}{m} + \frac{P^2}{2m} + V(x) \right\} u(x) = E u(x)$

Eigenvalue equation. Boundary condition  $u(x+a) = u(x)$